



SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

LIST OF PRIOR ART CITED BY APPLICANT

DOCKET NO : 6311-11 SERIAL NO : 09/597,881  
APPLICANT : Rademacher et al. EXAMINER : Not assigned  
FILING DATE : June 20, 2000 GROUP ART UNIT 2766

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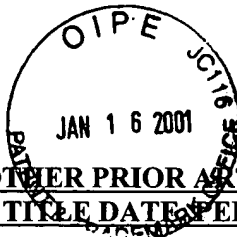
U.S. PATENT DOCUMENTS

<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>DATE</u>
CD	6,018,721			1/25/00
CD	6,021,402			2/1/00

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FOREIGN PATENT DOCUMENTS

<u>*EXAMINER'S INITIALS</u>	<u>DOCUMENT NUMBER</u>	<u>DATE</u>	<u>COUNTRY</u>	<u>CLASS</u>	<u>SUBCLASS</u>	<u>TRANSLATION YES NO</u>
CD	WO 98/53417	11/26/98	PCT	G06F	19/40	YES



OTHER PRIOR ART  
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## \*EXAMINER

The Bond Market Association et al, "Cross-Product Master Agreement" and "Cross-Product Master Agreement Guidance Notes", February 16, 2000.

Davis, Warren N., "ISDA Master Agreements – When Reciprocal is Neither Wise Nor Fair", Futures & Derivatives Law Report, Vol. 19, No. 11, February 2000, pages 7-11.

International Swaps And Derivatives Association, "ISDA 1999 Collateral Review", section 2.9.

International Swaps And Derivatives Association, "ISDA Guidelines For Collateral Practitioners", pages 1-9.

Warburg Dillon Read, "Collateral Management Initiative Workshop", London, April 27, 1999.

INITIALS

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.